

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
04995/107001

In Re Application Of: **Masanori KAWAI**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **DIGITAL BROADCAST RECEIVING AND RECORDING APPARATUS**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

22511
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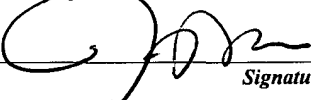
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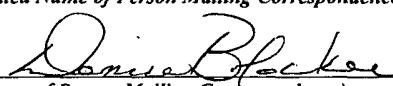
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Jonathan P. Osha, Reg. No. 33,986
ROSENTHAL & OSHA L.L.P.
1221 McKinney, Suite 2800
Houston, Texas 77010

Dated: **7/23/03**

Telephone: 713-228-8600
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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Masanori KAWAI			Docket No. 04995/107001
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: DIGITAL BROADCAST RECEIVING AND RECORDING APPARATUS			
I hereby certify that the following correspondence: <div style="border: 1px solid black; padding: 5px; margin: 10px 0;">Information Disclosure Statement and PTO Form 1449</div> <p style="text-align: center;"><i>(Identify type of correspondence)</i></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on</p> <p style="text-align: center;"><u>7/23/03</u> (Date)</p> <div style="text-align: right; margin-top: 20px;"><p>Denise Blocker <i>(Typed or Printed Name of Person Mailing Correspondence)</i></p><p> <i>(Signature of Person Mailing Correspondence)</i></p><p>EV324926684US <i>("Express Mail" Mailing Label Number)</i></p></div>			
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04995/107001

Application Number

Applicant(s)
Masanori KAWAI

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Group Art Unit

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	A1	2002-112186	04/12/2002	Japan	H04N	5/92		✓
	A2	2001-275053	10/05/2001	Japan	H04N	5/445		✓
	A3	2000-287144	10/13/2000	Japan	H04N	5/445		✓

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

	B1	Patent Abstracts of Japan, Publication No. 2002-112186, Publication Date 04/12/2002, 1 page
	B2	Patent Abstracts of Japan, Publication No. 2001-275053, Publication Date 10/05/2001, 1 page

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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				Docket Number (Optional) 04995/107001		Application Number	
				Applicant(s) Masanori KAWAI			
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FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>		
	B3	Patent Abstracts of Japan, Publication No. 2000-287144, Publication Date 10/13/2000, 1 page

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